

8-12-05

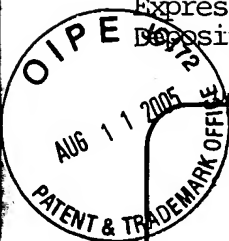
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Express Mail No.: EL984897227US
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PTO/SB/21 (09-04)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/720,247

Filing Date 11/24/2003

First Named Inventor Daniel E. Resasco et al.

Art Unit 1754

Examiner Name Unknown

Attorney Docket Number 7356.005

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation of POA, Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	See Remarks
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	1. Transmittal Form (1 page);	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	2. Supplemental Information Disclosure Statement (37 CFR 1.97(e)(1)) (4 pages);	
	3. Supplemental IDS 1449 (1 page);	
	4. Copy of International Search Report (1 page); and	
	5. Postcard.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	DUNLAP, CODDING & ROGERS, P.C.		
Signature			
Printed name	Christopher W. Corbett		
Date	08/11/2005	Reg. No.	36,109

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: *** SENT BY EXPRESS MAIL, DATED 08/11/2005 ***

Signature			
Typed or printed name	Christopher W. Corbett	Date	08/11/2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Express Mail No.: EL984897227US
Deposited On: August 11, 2005

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/720,247 Confirmation No.: 4366
Applicant(s) : Daniel E. Resasco, Walter E. Alvarez, Jose E.
Herrera and Leandro Balzano
Filed : 11/24/2003
TC/Au : 1754
Examiner : Unknown
Title : METHOD AND CATALYST FOR PRODUCING
SINGLE WALLED CARBON NANOTUBES
Docket No. : 7356.005
Customer No. : 30589

Commissioner for Patents
P.O. Box 1450
Arlington, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
(FILED UNDER 37 C.F.R 1.97(e)(1))

List of Sections Forming Part of This
Information Disclosure Statement

The following sections are being submitted for this Information Disclosure
Statement:

1. [X] Statement Under 37 C.F.R. 1.97(e)(1)
2. [X] Preliminary Statements
3. [X] FORM PTO-1449 (Modified)
4. [] Statement as to Information Not Found in Patents or
Publications
5. [] Identification of Prior Application in Which Listed
Information Was Already Cited and for Which No
Copies Are Submitted or Need Be Submitted

- 6. ☒ Copies of Listed Information Items Accompanying this Statement
- 7. ☒ Identification of Person(s) Making this Information Disclosure Statement

Section 1. Statement Under 37 C.F.R. 1.97(e)(1)

Each item in the information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Supplemental Information Disclosure Statement therefore, no fee is required.

Section 2. Preliminary Statements

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Section 3. Form PTO-1449 (Modified)

- ☒ A completed Form 1449 (Modified) is attached hereto.
- ☐ No Form 1449 (Modified) is attached.

Section 4. Statement as to Information Not Found in Patents or Publications (Information Not Listed in Form PTO-1449)

Section 5. Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. _____, filed on _____ (date).

(complete the following, if applicable)

- ☐ This application also relies, under 35 U.S.C. 120, on the earlier filing date of prior application Serial No. _____, filed on _____ (date).

The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore, are not required to be provided in this application:

Section 6. Copies of Listed Information Items Accompanying this Statement

Legible copies of all items listed in Form PTO-1449 (Modified) accompany this information disclosure statement.

- ☒ Exception(s) to above:

- ☐ Items in prior application from which an earlier filing date is claimed for this application, as identified in Section 5.
- ☒ As this application is being filed after June 30, 2003, no copies of cited U.S. patents or patent application publications are submitted herewith.

**Section 7. Identification of Person(s) Making this INFORMATION
DISCLOSURE STATEMENT**

The person making this statement is the attorney who signs below on the basis of the information:

- ☐ supplied by the inventor(s)
- ☐ supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)).
- ☐ in the attorney's file
- ☒ provided in the International Search Report cited herein

Respectfully submitted,



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Express Mail: EL984897227US
Date Deposited: 08/11/2005



Page 1 of 1

Substitute for form 1449A/PTO

**SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT BY
APPLICANT**

Complete if Known	
Application Number	10/720,247
Filing Date	11/24/2003
First Named Inventor	Daniel E. Resasco et al.
Group Art Unit	1754
Examiner Name	Unknown
Attorney Docket Number	7356.005

(use as many sheets as necessary)

U. S. PATENT DOCUMENTS

EXAM INIT.	Cite No. 1	U.S. PATENT NUMBER Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM- DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		6346189 B1		Dai et al.	02/12/2002	
		6692717 B1		Smalley et al.	02/17/2004	
		6756025 B2		Colbert et al.	06/29/2004	

FOREIGN PATENT DOCUMENTS

EXAM INIT.	Cite No. 1	Foreign Patent Document Office 3 Number 4	Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁶
		PCT/US2004/12986		International Search Report	05/26/2005		

U.S. and Foreign: ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). ⁴Form Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

NON PATENT DOCUMENTS

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

Non Patent Documents: ¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature:

Date Considered:

EXAMINER: Initial if citation considered, whether or not citation is in conformance and not considered. Include copy of this form with next communication to applicant. **Place of Publication refers to name of publication in which the information was published.